FATCA and CRS: DETAILS and DECLARATION FORM

KALPATARU MULTIPLIER LTD.

Mandatory for Non-Individual Investors

APPLICANT DETAILS

Applicant Name:

PAN **Trading Code**

D.P.ID

INCORPORATION and TAX RESIDENCY DETAILS (Mandatory)

Place of Incorporation:	Country of Incorporation:	Date of Incorporation:

Is Entity a tax resident of any country other than India? ☐ Yes ☐ No *(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below)*

	Country of Tax Residency	TIN or equivalent number	Identification Type
1.			
2.			
3.			
4.			

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person (as per definition E5), please mention the exemption code in the box: ☐ (refer definition D4)

FATCA and CRS DETAILS (Mandatory)

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a, *(please tick as appropriate)*

☐ Financial Institution *(Refer definition A)*

or

☐ Direct reporting NFE *(Refer definition B)*

GIIN ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity:

GIIN - Not Available ☐ **Applied for**

If the entity is a financial institution, ☐ Not required to apply for - please specify 2 digits sub-category ☐☐ (refer definition C)

☐ Not obtained - Non-participating FI

PART B (please fill Any One as appropriate, to be filled by NFEs other than Direct Reporting NFEs)

Is the Entity a publicly traded company?

(that is, a company whose shares are regularly traded on an established securities market) (Refer definition D1)

Yes ☐ *(If yes, please specify any one stock exchange on which the stock is regularly traded)*

Name of stock exchange_____

Is the Entity a related entity of a publicly traded company?

(a company whose shares are regularly traded on an established securities market) (Refer definition D2)

Yes ☐ *(If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)*

Name of listed company_____

Nature of relation: ☐ Subsidiary of the Listed Company OR ☐ Controlled by a Listed Company

Name of stock exchange_____

Is the Entity an Active NFE?

(Refer definition D3)

Yes ☐ ☞ Also provide UBO Form ☐

Nature of Business_____

Please specify the sub-category of Active NFE ☐☐ *(Mention code - refer D3)*

Is the Entity a Passive NFE?

(Refer definition E2)

Yes ☐ ☞ Also provide UBO Form ☐

Nature of Business_____

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after necessary consultation with tax professionals.

I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby confirm that the information provided by me/us on this form are true, correct, and complete.

Place : Date :

Authorized Signatories [with Company/Trust/Firm/Body Corporate seal]